

CITY OF ALLEN
Investment Report
January 1, 2018 to March 31, 2018

Summary of Investments by Type

Type	Value of all Investments 12/31/17		Value of all Investments 3/31/18	
	Book	Market	Book	Market
Government Agencies	\$ 74,069,342	\$ 73,215,771	\$ 78,549,116	\$ 77,382,693
LGIP (TexPool, TexSTAR, Texas Class)	54,860,377	54,860,377	54,508,966	54,508,966
Money Markets	14,625	14,625	4,996	4,996
Certificates of Deposit	29,861,074	29,802,605	35,155,049	34,993,128
Commercial Paper	-	-	981,810	1,000,000
Municipal Bonds	984,450	998,060	-	-
TOTAL	\$ 159,789,868	\$ 158,891,438	\$ 169,199,936	\$ 167,889,782

Summary of Total Investment Book Value by Fund Group

	<u>12/31/17</u>	<u>3/31/18</u>
General Fund	\$ 38,682,291	\$ 46,849,127
Enterprise Funds	30,475,070	26,625,213
Capital Projects Funds	39,308,503	38,881,052
Debt Service Funds	1,923,915	13,605,663
Special Revenue Funds	32,222,865	26,391,282
Internal Service Funds	15,415,742	15,332,976
Trust and Agency Funds	1,761,482	1,514,623
TOTAL	\$ 159,789,868	\$ 169,199,936

Note: Addition differences due to rounding.

City of Allen

Government Agencies, Municipal Bonds, Commercial Paper, and Certificates of Deposit

Maturity Date	Purchase Date	Type	Broker	CUSIP	Value at 12/31/2017		Value at 3/31/2018	
					Book	Market	Book	Market
02/15/18	11/18/15	BONDS	COM	463813ZV0	\$ 984,450	\$ 998,060	\$ -	\$ -
03/09/18	11/04/15	FHLB	CS	313378A43	\$ 1,012,872	\$ 1,000,072	\$ -	\$ -
03/14/18	01/29/16	FFCB	CS	3133EFSG3	\$ 1,507,354	\$ 1,499,549	\$ -	\$ -
03/29/18	03/29/16	FHLB	CS	3130A7MB8	\$ 1,500,000	\$ 1,498,571	\$ -	\$ -
04/09/18	07/08/15	CD	COB	3851-11577552	\$ 2,566,148	\$ 2,566,148	\$ 2,572,988	\$ 2,572,988
04/18/18	04/27/16	FFCB	COM	3133EF3B1	\$ 1,000,313	\$ 997,822	\$ 1,000,313	\$ 999,688
05/11/18	05/11/15	CD	COB	3851-11473455	\$ 3,126,952	\$ 3,126,952	\$ 3,136,213	\$ 3,136,213
05/18/18	05/18/16	FHLB	WF	3130A7VW2	\$ 1,000,000	\$ 998,024	\$ 1,000,000	\$ 999,063
06/06/18	08/07/15	FNMA	COM	3136G06F3	\$ 1,000,529	\$ 997,710	\$ 1,000,529	\$ 998,750
07/13/18	07/13/16	FNMA	CS	3135G0M42	\$ 1,000,000	\$ 996,125	\$ 1,000,000	\$ 997,500
07/27/18	11/04/15	FHLMC	CS	3134G73Q2	\$ 999,194	\$ 995,878	\$ 999,194	\$ 997,192
08/13/18	08/13/15	CD	COB	3851-11578006	\$ 5,151,564	\$ 5,151,564	\$ 5,167,840	\$ 5,167,840
10/26/18	10/29/15	FNMA	CS	3136G2RD1	\$ 1,000,000	\$ 993,919	\$ 1,000,000	\$ 994,375
10/29/18	10/29/15	FFCB	CS	3133EFLY1	\$ 1,000,000	\$ 993,333	\$ 1,000,000	\$ 994,375
11/02/18	11/02/15	FFCB	COM	3133EFMA2	\$ 1,000,000	\$ 993,652	\$ 1,000,000	\$ 994,688
12/17/18	03/22/18	CP	COM	46640QM1	\$ -	\$ -	\$ 981,810	\$ 1,000,000
12/28/18	06/28/16	FHLMC	CS	3134G9WB9	\$ 2,050,000	\$ 2,034,002	\$ 2,050,000	\$ 2,034,176
01/11/19	01/11/16	CD	COB	3851-11575564	\$ 3,113,291	\$ 3,113,291	\$ 3,123,666	\$ 3,123,666
01/20/19	01/20/17	CD	TSB	11974	\$ 3,165,481	\$ 3,165,481	\$ 3,176,618	\$ 3,176,618
02/01/19	02/01/17	CD	FES	949763EK8	\$ 250,000	\$ 249,428	\$ 250,000	\$ 249,105
02/01/19	02/01/17	CD	FES	140420V23	\$ 248,000	\$ 247,298	\$ 248,000	\$ 247,004
02/04/19	02/02/17	CD	FES	02006LW41	\$ 248,000	\$ 247,287	\$ 248,000	\$ 246,985
02/22/19	02/22/16	FFCB	CS	3133EFYS0	\$ 1,000,000	\$ 989,552	\$ 1,000,000	\$ 991,250
02/27/19	01/29/16	FNMA	CS	3136FTP37	\$ 1,023,529	\$ 998,472	\$ 1,023,529	\$ 995,625
03/29/19	03/29/16	FFCB	CS	3133EFV38	\$ 2,000,000	\$ 1,984,398	\$ 2,000,000	\$ 1,982,500
03/29/19	03/29/16	FHLMC	WF	3134G8TV1	\$ 1,000,000	\$ 992,489	\$ 1,000,000	\$ 990,455
04/02/19	01/29/16	FFCB	CS	3133ECKP8	\$ 1,003,210	\$ 991,768	\$ 1,003,210	\$ 990,625
04/15/19	03/24/16	FHLMC	COM	3137EADZ9	\$ 1,000,094	\$ 990,847	\$ 1,000,094	\$ 989,349
04/26/19	04/26/16	FFCB	CS	3133EF4Y0	\$ 1,000,000	\$ 988,485	\$ 1,000,000	\$ 988,750
05/16/19	05/16/16	FHLMC	CS	3134G9DK0	\$ 1,000,000	\$ 991,098	\$ 1,000,000	\$ 989,004
05/16/19	05/16/16	FHLMC	WF	3134G9DK0	\$ 1,000,000	\$ 991,098	\$ 1,000,000	\$ 989,004
06/14/19	02/01/16	FHLB	COM	313379EE5	\$ 2,038,243	\$ 1,992,032	\$ 2,038,243	\$ 1,986,830
06/27/19	06/27/16	FFCB	WF	3133EGGS8	\$ 1,500,000	\$ 1,482,024	\$ 1,500,000	\$ 1,481,250
07/19/19	07/19/16	FNMA	CS	3136G3VN2	\$ 1,500,000	\$ 1,472,133	\$ 1,500,000	\$ 1,481,719
08/01/19	11/04/15	FHLMC	CS	3137EADK2	\$ 1,497,857	\$ 1,485,329	\$ 1,497,857	\$ 1,480,635
08/26/19	02/26/16	FNMA	CS	3136G2YB7	\$ 1,000,000	\$ 985,738	\$ 1,000,000	\$ 987,500
08/26/19	02/26/16	FNMA	WF	3136G2YB7	\$ 1,000,000	\$ 985,738	\$ 1,000,000	\$ 987,500
09/25/19	10/14/15	FHLB	CS	3130A6GD3	\$ 1,510,938	\$ 1,487,552	\$ 1,510,938	\$ 1,483,594
10/22/19	10/22/15	FFCB	COM	3133EFLA3	\$ 1,000,000	\$ 987,445	\$ 1,000,000	\$ 984,063
11/25/19	11/25/15	FNMA	CS	3136G2SU2	\$ 925,000	\$ 915,364	\$ 925,000	\$ 913,438
11/26/19	05/26/16	FNMA	CS	3136G3LV5	\$ 1,000,000	\$ 989,105	\$ 1,000,000	\$ 985,000
11/29/19	11/29/16	FNMA	LT	3135G0R96	\$ 998,000	\$ 985,314	\$ 998,000	\$ 981,875
12/20/19	12/20/16	FHLB	CS	3130AABA5	\$ 1,000,000	\$ 990,574	\$ 1,000,000	\$ 985,625
12/27/19	12/27/16	FFCB	CS	3133EG2M6	\$ 1,000,000	\$ 990,975	\$ 1,000,000	\$ 986,875
12/30/19	06/30/16	FHLB	CS	3130A8JZ7	\$ 1,000,000	\$ 984,441	\$ 1,000,000	\$ 980,938
01/09/20	01/09/17	CD	ANB	9625880	\$ 3,083,469	\$ 3,083,469	\$ 3,092,796	\$ 3,092,796
02/03/20	02/03/16	FFCB	CS	3133EFXM4	\$ 1,500,000	\$ 1,478,483	\$ 1,500,000	\$ 1,472,813
02/03/20	02/01/17	CD	FES	2546722S6	\$ 248,000	\$ 246,578	\$ 248,000	\$ 244,773
02/24/20	02/24/16	FFCB	COM	3133EFZT7	\$ 1,000,000	\$ 986,306	\$ 1,000,000	\$ 980,625
02/28/20	02/28/17	FNMA	COM	3136G4LV3	\$ 1,000,000	\$ 992,658	\$ 1,000,000	\$ 987,813
03/30/20	03/30/16	FFCB	COM	3133EFV20	\$ 1,000,000	\$ 986,233	\$ 1,000,000	\$ 980,000
03/30/20	03/30/16	FFCB	WF	3133EFV20	\$ 1,000,000	\$ 986,233	\$ 1,000,000	\$ 980,000
04/17/20	02/07/17	FFCB	COM	3133EG4D4	\$ 2,001,922	\$ 1,980,610	\$ 2,001,922	\$ 1,968,750
05/19/20	05/19/16	FHLB	COM	3130A7VV4	\$ 1,000,000	\$ 980,917	\$ 1,000,000	\$ 981,875
06/29/20	06/29/16	FFCB	WF	3133EGHP3	\$ 1,000,000	\$ 983,504	\$ 1,000,000	\$ 978,125
06/29/20	06/29/16	FFCB	CS	3133EGHP3	\$ 1,000,000	\$ 983,504	\$ 1,000,000	\$ 978,125
07/28/20	07/28/17	CD	FNC	63861NAJ4	\$ 245,000	\$ 243,077	\$ 245,000	\$ 240,686
07/28/20	07/28/17	CD	FNC	87270LAE3	\$ 245,000	\$ 243,082	\$ 245,000	\$ 240,700
07/28/20	07/28/17	CD	LT	60050001930	\$ 1,511,668	\$ 1,511,668	\$ 1,518,575	\$ 1,518,575
07/31/20	07/31/17	CD	FNC	88283MBB9	\$ 245,000	\$ 243,371	\$ 245,000	\$ 240,945

Maturity Date	Purchase Date	Type	Broker	CUSIP	Value at 12/31/2017		Value at 3/31/2018	
					Book	Market	Book	Market
08/03/20	08/02/17	CD	FNC	92937CFV5	\$ 245,000	\$ 243,361	\$ 245,000	\$ 240,938
09/28/20	09/28/17	CD	FNC	947547JR7	\$ 245,000	\$ 244,074	\$ 245,000	\$ 241,465
09/29/20	09/29/17	CD	FNC	66476QBZ0	\$ 245,000	\$ 244,076	\$ 245,000	\$ 241,462
09/29/20	02/22/18	FHLB	FTN	3130ADQ21	\$ -	\$ -	\$ 1,000,000	\$ 998,438
10/05/20	10/05/17	CD	FNC	51506VCH4	\$ 245,000	\$ 243,395	\$ 245,000	\$ 240,842
11/20/20	11/20/17	FHLMC	FTN	3134GBW32	\$ 2,000,000	\$ 1,992,682	\$ 2,000,000	\$ 1,975,200
12/28/20	12/28/17	FHLMC	FTN	3134GB5E8	\$ 1,000,000	\$ 996,526	\$ 1,000,000	\$ 987,185
01/19/21	01/19/18	CD	LTB	60050002649	\$ -	\$ -	\$ 1,003,640	\$ 1,003,640
01/26/21	01/30/18	FHLB	LT	3130ADDR0	\$ -	\$ -	\$ 1,000,000	\$ 990,313
02/16/21	08/16/17	FNMA	FES	3136G4NR0	\$ 1,500,000	\$ 1,481,324	\$ 1,500,000	\$ 1,467,188
03/15/21	09/14/17	FHLB	LT	3130AC6V1	\$ 1,000,000	\$ 985,697	\$ 1,000,000	\$ 976,563
04/13/21	04/20/16	FHLB	COM	3130A7Q81	\$ 1,000,288	\$ 974,583	\$ 1,000,288	\$ 965,938
05/28/21	08/28/17	FHLMC	WF	3134GBC75	\$ 1,000,000	\$ 991,875	\$ 1,000,000	\$ 982,882
06/30/21	06/30/16	FNMA	WF	3136G3UD5	\$ 1,000,000	\$ 985,558	\$ 1,000,000	\$ 975,313
06/30/21	06/30/16	FNMA	WF	3136G3UD5	\$ 1,000,000	\$ 985,558	\$ 1,000,000	\$ 975,313
07/26/21	07/26/17	CD	FNC	40434YMK0	\$ 245,000	\$ 244,307	\$ 245,000	\$ 240,916
07/28/21	07/28/17	CD	FNC	29266N5U7	\$ 245,000	\$ 243,025	\$ 245,000	\$ 239,710
07/28/21	07/28/17	CD	FNC	48126YDA8	\$ 245,000	\$ 243,038	\$ 245,000	\$ 239,730
07/28/21	07/28/17	CD	LT	60050001931	\$ 1,512,301	\$ 1,512,301	\$ 1,519,584	\$ 1,519,584
08/06/21	08/07/17	CD	FNC	5840DAB7	\$ 245,000	\$ 242,996	\$ 245,000	\$ 239,657
09/29/21	03/29/18	FHLMC	FES	3134GSGW9	\$ -	\$ -	\$ 1,000,000	\$ 1,000,133
10/06/21	10/06/17	CD	FNC	633368EL5	\$ 245,000	\$ 242,795	\$ 245,000	\$ 239,316
10/13/21	10/13/17	CD	FNC	55266CWZ5	\$ 245,000	\$ 242,758	\$ 245,000	\$ 239,260
10/13/21	10/13/17	CD	FNC	85641PDQ0	\$ 245,000	\$ 242,768	\$ 245,000	\$ 239,274
11/26/21	11/28/16	FHLB	FES	3130AA2K3	\$ 1,500,000	\$ 1,457,384	\$ 1,500,000	\$ 1,449,844
12/20/21	12/20/16	FHLB	CS	3130AAGR3	\$ 1,000,000	\$ 987,046	\$ 1,000,000	\$ 975,938
12/20/21	12/20/16	FHLB	COM	3130AAGR3	\$ 1,000,000	\$ 987,046	\$ 1,000,000	\$ 975,938
01/19/22	01/19/18	CD	LTB	60050002650	\$ -	\$ -	\$ 1,003,883	\$ 1,003,883
02/01/22	02/01/17	FHLB	FES	3130AAR67	\$ 1,000,000	\$ 989,914	\$ 1,000,000	\$ 981,563
03/09/22	03/09/18	CD	FNC	75272LAD8	\$ -	\$ -	\$ 245,000	\$ 243,851
03/14/22	03/12/18	CD	FNC	337630BM8	\$ -	\$ -	\$ 245,000	\$ 244,294
03/16/22	03/16/18	CD	FNC	86604XMU7	\$ -	\$ -	\$ 245,000	\$ 243,368
03/16/22	03/16/18	CD	FNC	319234AN0	\$ -	\$ -	\$ 245,000	\$ 244,312
03/21/22	03/21/18	CD	FNC	05988AAA0	\$ -	\$ -	\$ 245,000	\$ 244,020
03/29/22	03/29/18	FHLB	FES	3130ADTN2	\$ -	\$ -	\$ 1,000,000	\$ 999,375
06/29/22	03/29/18	FFCB	FTN	3133EJJA8	\$ -	\$ -	\$ 1,000,000	\$ 999,375
07/25/22	07/25/17	CD	FNC	20033AUJ0	\$ 245,000	\$ 243,319	\$ 245,000	\$ 239,789
07/26/22	07/26/17	CD	FNC	38148PLT2	\$ 245,000	\$ 243,317	\$ 245,000	\$ 240,301
07/26/22	07/26/17	CD	FNC	14042RGS4	\$ 245,000	\$ 243,317	\$ 245,000	\$ 239,804
07/26/22	07/26/17	CD	FNC	06740KKR7	\$ 245,000	\$ 243,846	\$ 245,000	\$ 239,804
07/28/22	08/14/17	CD	FES	86789VUK1	\$ 246,201	\$ 243,736	\$ 246,201	\$ 241,080
08/15/22	08/15/17	FHLB	FTN	3130ABX68	\$ 2,000,000	\$ 1,971,128	\$ 2,000,000	\$ 1,945,000
08/16/22	08/16/17	FHLB	COM	3130ABVQ6	\$ 2,000,000	\$ 1,977,262	\$ 2,000,000	\$ 1,958,125
08/24/22	08/24/17	FHLB	FES	3130ABWU6	\$ 1,500,000	\$ 1,469,673	\$ 1,500,000	\$ 1,450,781
09/15/22	09/15/17	FHLB	LT	3130ACA38	\$ 1,000,000	\$ 985,199	\$ 1,000,000	\$ 971,875
10/12/22	10/12/17	CD	FNC	62384RAB2	\$ 245,000	\$ 243,219	\$ 245,000	\$ 239,777
10/14/22	10/13/17	CD	FNC	61765QDT3	\$ 245,000	\$ 231,317	\$ 245,000	\$ 217,940
10/18/22	10/18/17	CD	FNC	08173QBX3	\$ 245,000	\$ 241,519	\$ 245,000	\$ 238,201
10/26/22	10/26/17	CD	FNC	12325EHX3	\$ 245,000	\$ 241,430	\$ 245,000	\$ 238,106
11/28/22	11/28/17	FHLMC	FES	3134GBY22	\$ 1,000,000	\$ 994,285	\$ 1,000,000	\$ 983,240
12/29/22	12/29/17	FHLMC	COM	3134GB5J7	\$ 1,000,000	\$ 993,958	\$ 1,000,000	\$ 979,305
01/12/23	01/12/18	CD	FNC	87165HUB4	\$ -	\$ -	\$ 245,000	\$ 241,901
01/17/23	01/17/18	CD	FNC	795450J30	\$ -	\$ -	\$ 245,000	\$ 242,420
01/19/23	01/19/18	CD	LTB	60050002651	\$ -	\$ -	\$ 1,004,045	\$ 1,004,045
01/23/23	01/22/18	CD	FNC	336460CL2	\$ -	\$ -	\$ 245,000	\$ 239,029
01/27/23	01/26/18	CD	FNC	20368MAF2	\$ -	\$ -	\$ 245,000	\$ 242,516
02/16/23	02/16/18	FHLMC	WF	3134GSCJ2	\$ -	\$ -	\$ 1,500,000	\$ 1,488,045
03/08/23	03/08/18	FHLMC	WF	3134GSEK7	\$ -	\$ -	\$ 1,000,000	\$ 1,000,099
03/14/23	03/14/18	FHLMC	FTN	3134GSEW1	\$ -	\$ -	\$ 1,000,000	\$ 998,392
					\$ 104,914,866	\$ 104,016,436	\$ 114,685,975	\$ 113,375,821

Agencies	\$ 74,069,342	\$ 73,215,771	\$ 78,549,116	\$ 77,382,693
Municipal Bonds	\$ 984,450	\$ 998,060	\$ -	\$ -
Commercial Paper	\$ -	\$ -	\$ 981,810	\$ 1,000,000
Certificates of Deposit	\$ 29,861,074	\$ 29,802,605	\$ 35,155,049	\$ 34,993,128

ANB	American National Bank	FTN	FTN Financial
COB	Comerica Bank	LT	Ladenburg Thalmann & Co.
COM	Comerica Securities	LTB	Legacy Texas Bank
CS	Coastal Securities	TSB	Texas Security Bank
FES	First Empire Securities	WF	Wells Fargo
FNC	Financial Northeastern		

Note: Addition differences due to rounding.