

CITY OF ALLEN
Investment Report
July 01, 2017 to September 30, 2017

Summary of Investments by Type

Type	Value of all Investments 6/30/17		Value of all Investments 9/30/17	
	Book	Market	Book	Market
Government Agencies	\$ 66,186,950	\$ 65,628,744	\$ 71,069,359	\$ 70,584,318
LGIP (TexPool, TexSTAR, Texas Class)	65,925,577	65,925,577	41,323,565	41,323,565
Money Markets	-	-	3,579	3,579
Certificates of Deposit	31,271,767	31,266,931	32,921,136	32,923,349
Municipal Bonds	995,487	993,140	984,450	996,710
TOTAL	\$ 164,379,781	\$ 163,814,391	\$ 146,302,089	\$ 145,831,522

Summary of Total Investment Book Value by Fund Group

	<u>6/30/17</u>	<u>9/30/17</u>
General Fund	\$ 37,332,186	\$ 22,578,275
Enterprise Funds	23,645,518	26,493,396
Capital Projects Funds	33,369,899	42,546,578
Debt Service Funds	13,657,609	1,330,768
Special Revenue Funds	37,708,077	35,001,430
Internal Service Funds	16,984,855	16,443,038
Trust and Agency Funds	1,681,637	1,908,605
TOTAL	\$ 164,379,780	\$ 146,302,089

Note: Addition differences due to rounding.

City of Allen
Government Agencies, Municipal Bonds, and Certificates of Deposit

Maturity Date	Purchase Date	Type	Broker	CUSIP	Value at 6/30/17		Value at 9/30/17	
					Book	Market	Book	Market
07/03/17	04/05/16	CD	COB	3851-11575960	\$ 1,018,747	\$ 1,018,747	\$ -	\$ -
07/30/17	07/30/14	CD	ANB	9631730	\$ 1,536,678	\$ 1,536,678	\$ -	\$ -
08/09/17	05/09/13	FFCB	FES	3133ECP24	\$ 1,002,312	\$ 999,528	\$ -	\$ -
08/14/17	08/13/15	CD	COB	3851-11577974	\$ 2,538,023	\$ 2,538,023	\$ -	\$ -
09/29/17	02/10/14	FHLMC	GS	3137EADL0	\$ 1,002,060	\$ 999,765	\$ -	\$ -
10/09/17	07/08/15	CD	COB	3851-11577560	\$ 2,540,964	\$ 2,540,964	\$ 2,545,969	\$ 2,545,969
10/26/17	10/26/15	FHLB	COM	3130A6LZ8	\$ 1,000,971	\$ 998,493	\$ 1,000,017	\$ 999,733
11/13/17	08/13/15	CD	COB	3851-11578014	\$ 2,543,588	\$ 2,543,588	\$ 2,549,460	\$ 2,549,460
11/28/17	05/28/13	FNMA	CS	3136G1M55	\$ 1,000,214	\$ 998,384	\$ 1,000,000	\$ 999,324
02/15/18	11/18/15	BONDS	COM	463813ZV0	\$ 995,487	\$ 993,140	\$ 984,450	\$ 996,710
03/09/18	11/04/15	FHLB	CS	313378A43	\$ 1,016,181	\$ 1,001,001	\$ 1,012,872	\$ 1,000,873
03/14/18	01/29/16	FFCB	CS	3133EFSG3	\$ 1,506,359	\$ 1,498,608	\$ 1,507,354	\$ 1,498,967
03/29/18	03/29/16	FHLB	CS	3130A7MB8	\$ 1,503,534	\$ 1,497,570	\$ 1,500,000	\$ 1,498,224
04/09/18	07/08/15	CD	COB	3851-11577552	\$ 2,552,668	\$ 2,552,668	\$ 2,559,251	\$ 2,559,251
04/18/18	04/27/16	FFCB	COM	3133EF3B1	\$ 1,001,561	\$ 996,034	\$ 1,000,313	\$ 997,428
05/11/18	05/11/15	CD	COB	3851-11473455	\$ 3,108,084	\$ 3,108,084	\$ 3,117,615	\$ 3,117,615
05/18/18	05/18/16	FHLB	WF	3130A7VW2	\$ 1,001,014	\$ 997,716	\$ 1,000,000	\$ 998,464
06/06/18	08/07/15	FNMA	COM	3136G06F3	\$ 1,000,336	\$ 997,610	\$ 1,000,529	\$ 998,132
07/13/18	07/13/16	FNMA	CS	3135G0M42	\$ 1,004,056	\$ 995,482	\$ 1,000,000	\$ 996,682
07/27/18	11/04/15	FHLMC	CS	3134G73Q2	\$ 1,003,150	\$ 994,214	\$ 999,194	\$ 997,094
08/13/18	08/13/15	CD	COB	3851-11578006	\$ 5,118,707	\$ 5,118,707	\$ 5,135,159	\$ 5,135,159
10/26/18	10/29/15	FNMA	CS	3136G2RD1	\$ 1,001,564	\$ 998,799	\$ 1,000,000	\$ 998,264
10/29/18	10/29/15	FFCB	CS	3133EFLY1	\$ 1,001,349	\$ 994,494	\$ 1,000,000	\$ 993,990
11/02/18	11/02/15	FFCB	COM	3133EFMA2	\$ 996,296	\$ 993,212	\$ 1,000,000	\$ 993,643
12/28/18	06/28/16	FHLMC	CS	3134G9WB9	\$ 2,049,820	\$ 2,034,859	\$ 2,050,000	\$ 2,037,522
01/11/19	01/11/16	CD	COB	3851-11575564	\$ 3,093,234	\$ 3,093,234	\$ 3,102,836	\$ 3,102,836
01/20/19	01/20/17	CD	TSB	11974	\$ 3,145,860	\$ 3,145,860	\$ 3,154,382	\$ 3,154,382
02/01/19	02/01/17	CD	FES	949763EK8	\$ 250,308	\$ 250,167	\$ 250,000	\$ 249,919
02/01/19	02/01/17	CD	FES	140420V23	\$ 249,519	\$ 247,970	\$ 248,000	\$ 247,753
02/04/19	02/02/17	CD	FES	02006LW41	\$ 249,508	\$ 247,962	\$ 248,000	\$ 247,747
02/22/19	02/22/16	FFCB	CS	3133EFYS0	\$ 1,003,861	\$ 993,485	\$ 1,000,000	\$ 992,458
02/27/19	01/29/16	FNMA	CS	3136FTP37	\$ 1,026,945	\$ 1,002,805	\$ 1,023,529	\$ 1,002,333
03/29/19	03/29/16	FFCB	CS	3133EFV38	\$ 2,005,890	\$ 1,990,416	\$ 2,000,000	\$ 1,990,386
03/29/19	03/29/16	FHLMC	WF	3134G8TV1	\$ 1,002,875	\$ 993,057	\$ 1,000,000	\$ 995,139
04/02/19	01/29/16	FFCB	CS	3133ECKP8	\$ 1,002,304	\$ 995,400	\$ 1,003,210	\$ 995,053
04/15/19	03/24/16	FHLMC	COM	3137EADZ9	\$ 1,002,140	\$ 995,507	\$ 1,000,094	\$ 994,921
04/26/19	04/26/16	FFCB	CS	3133EF4Y0	\$ 1,001,616	\$ 990,002	\$ 1,000,000	\$ 991,104
05/16/19	05/16/16	FHLMC	CS	3134G9DK0	\$ 1,001,282	\$ 991,562	\$ 1,000,000	\$ 993,562
05/16/19	05/16/16	FHLMC	WF	3134G9DK0	\$ 1,001,282	\$ 991,562	\$ 1,000,000	\$ 993,562
06/14/19	02/01/16	FHLB	COM	313379EE5	\$ 2,020,765	\$ 2,006,798	\$ 2,038,243	\$ 2,003,304
06/27/19	06/27/16	FFCB	WF	3133EGGS8	\$ 1,499,901	\$ 1,488,560	\$ 1,500,000	\$ 1,488,656
07/19/19	07/19/16	FNMA	CS	3136G3VN2	\$ 1,508,142	\$ 1,476,825	\$ 1,500,000	\$ 1,477,619
08/01/19	11/04/15	FHLMC	CS	3137EADK2	\$ 1,502,455	\$ 1,494,012	\$ 1,497,857	\$ 1,492,875
08/26/19	02/26/16	FNMA	CS	3136G2YB7	\$ 1,004,310	\$ 991,144	\$ 1,000,000	\$ 990,795
08/26/19	02/26/16	FNMA	WF	3136G2YB7	\$ 1,004,310	\$ 991,144	\$ 1,000,000	\$ 990,795
09/25/19	10/14/15	FHLB	CS	3130A6GD3	\$ 1,510,962	\$ 1,496,099	\$ 1,510,938	\$ 1,494,444
10/22/19	10/22/15	FFCB	COM	3133EFLA3	\$ 1,002,041	\$ 992,612	\$ 1,000,000	\$ 993,222
11/25/19	11/25/15	FNMA	CS	3136G2SU2	\$ 926,030	\$ 922,815	\$ 925,000	\$ 921,643
11/26/19	05/26/16	FNMA	CS	3136G3LV5	\$ 1,001,056	\$ 992,341	\$ 1,000,000	\$ 993,272
11/29/19	11/29/16	FNMA	LT	3135G0R96	\$ 998,898	\$ 990,561	\$ 998,000	\$ 989,982
12/20/19	12/20/16	FHLB	CS	3130AABA5	\$ 1,000,302	\$ 998,047	\$ 1,000,000	\$ 996,531
12/27/19	12/27/16	FFCB	CS	3133EG2M6	\$ 1,000,023	\$ 1,000,257	\$ 1,000,000	\$ 998,034
12/30/19	06/30/16	FHLB	CS	3130A8JZ7	\$ 999,828	\$ 993,253	\$ 1,000,000	\$ 991,896
01/27/20	02/03/17	FNMA	CS	3136G4KR3	\$ 3,020,572	\$ 2,995,314	\$ -	\$ -
02/03/20	02/03/16	FFCB	CS	3133EFXM4	\$ 1,508,848	\$ 1,489,313	\$ 1,500,000	\$ 1,488,741
02/03/20	02/01/17	CD	FES	2546722S6	\$ 249,772	\$ 248,172	\$ 248,000	\$ 247,873
02/24/20	02/24/16	FFCB	COM	3133EFZT7	\$ 1,004,628	\$ 992,326	\$ 1,000,000	\$ 993,402
02/28/20	02/28/17	FNMA	COM	3136G4LV3	\$ 1,005,458	\$ 1,000,065	\$ 1,000,000	\$ 1,000,102
03/30/20	03/30/16	FFCB	COM	3133EFV20	\$ 1,003,457	\$ 992,054	\$ 1,000,000	\$ 992,229

Maturity Date	Purchase Date	Type	Broker	CUSIP	Value at 6/30/17		Value at 9/30/17	
					Book	Market	Book	Market
03/30/20	03/30/16	FFCB	WF	3133EFV20	\$ 1,003,457	\$ 992,054	\$ 1,000,000	\$ 992,229
04/17/20	02/07/17	FFCB	COM	3133EG4D4	\$ 2,007,075	\$ 1,996,610	\$ 2,001,922	\$ 1,995,866
05/19/20	05/19/16	FHLB	COM	3130A7VV4	\$ 1,001,468	\$ 986,850	\$ 1,000,000	\$ 987,345
06/29/20	06/29/16	FFCB	WF	3133EGHP3	\$ 999,835	\$ 989,675	\$ 1,000,000	\$ 989,949
06/29/20	06/29/16	FFCB	CS	3133EGHP3	\$ 999,835	\$ 989,675	\$ 1,000,000	\$ 989,949
07/28/20	07/28/17	CD	FNC	63861NAJ4	\$ -	\$ -	\$ 245,000	\$ 244,517
07/28/20	07/28/17	CD	FNC	87270LAE3	\$ -	\$ -	\$ 245,000	\$ 244,517
07/28/20	07/28/17	CD	LT	60050001930	\$ -	\$ -	\$ 1,504,717	\$ 1,504,717
07/31/20	07/31/17	CD	FNC	88283MBB9	\$ -	\$ -	\$ 245,000	\$ 244,843
08/03/20	08/02/17	CD	FNC	92937CFV5	\$ -	\$ -	\$ 245,000	\$ 244,831
08/22/20	01/09/17	CD	ANB	9625880	\$ 3,076,106	\$ 3,076,106	\$ 3,076,574	\$ 3,076,574
09/28/20	09/28/17	CD	FNC	947547JR7	\$ -	\$ -	\$ 245,000	\$ 245,532
09/29/20	09/29/17	CD	FNC	66476QBZ0	\$ -	\$ -	\$ 245,000	\$ 245,527
02/16/21	08/16/17	FNMA	FES	3136G4NR0	\$ -	\$ -	\$ 1,500,000	\$ 1,494,651
03/15/21	09/14/17	FHLB	LT	3130AC6V1	\$ -	\$ -	\$ 1,000,000	\$ 994,617
04/13/21	04/20/16	FHLB	COM	3130A7Q81	\$ 1,002,981	\$ 982,189	\$ 1,000,288	\$ 982,072
05/28/21	08/28/17	FHLMC	WF	3134GBC75	\$ -	\$ -	\$ 1,000,000	\$ 996,380
06/30/21	06/30/16	FNMA	WF	3136G3UD5	\$ 999,772	\$ 994,528	\$ 1,000,000	\$ 993,506
06/30/21	06/30/16	FNMA	WF	3136G3UD5	\$ 999,772	\$ 994,528	\$ 1,000,000	\$ 993,506
07/26/21	07/26/17	CD	FNC	40434YMK0	\$ -	\$ -	\$ 245,000	\$ 246,541
07/28/21	07/28/17	CD	FNC	29266N5U7	\$ -	\$ -	\$ 245,000	\$ 245,316
07/28/21	07/28/17	CD	FNC	48126YDA8	\$ -	\$ -	\$ 245,000	\$ 245,326
07/28/21	07/28/17	CD	LT	60050001931	\$ -	\$ -	\$ 1,504,973	\$ 1,504,973
08/06/21	08/07/17	CD	FNC	5840DAB7	\$ -	\$ -	\$ 245,000	\$ 245,304
11/26/21	11/28/16	FHLB	FES	3130AA2K3	\$ 1,502,194	\$ 1,469,931	\$ 1,500,000	\$ 1,469,328
12/20/21	12/20/16	FHLB	CS	3130AAGR3	\$ 1,000,413	\$ 994,737	\$ 1,000,000	\$ 993,554
12/20/21	12/20/16	FHLB	COM	3130AAGR3	\$ 1,000,413	\$ 994,737	\$ 1,000,000	\$ 993,554
02/01/22	02/01/17	FHLB	FES	3130AAR67	\$ 1,008,772	\$ 1,000,127	\$ 1,000,000	\$ 999,506
07/25/22	07/25/17	CD	FNC	20033AUY0	\$ -	\$ -	\$ 245,000	\$ 245,223
07/26/22	07/26/17	CD	FNC	38148PLT2	\$ -	\$ -	\$ 245,000	\$ 245,208
07/26/22	07/26/17	CD	FNC	14042RGS4	\$ -	\$ -	\$ 245,000	\$ 245,208
07/26/22	07/26/17	CD	FNC	06740KKR7	\$ -	\$ -	\$ 245,000	\$ 245,767
07/28/22	08/14/17	CD	FES	86789VUK1	\$ -	\$ -	\$ 246,201	\$ 245,462
08/15/22	08/15/17	FHLB	FTN	3130ABX68	\$ -	\$ -	\$ 2,000,000	\$ 1,992,364
08/16/22	08/16/17	FHLB	COM	3130ABVQ6	\$ -	\$ -	\$ 2,000,000	\$ 1,990,432
08/24/22	08/24/17	FHLB	FES	3130ABWU6	\$ -	\$ -	\$ 1,500,000	\$ 1,485,138
09/15/22	09/15/17	FHLB	LT	3130ACA38	\$ -	\$ -	\$ 1,000,000	\$ 995,974
					\$ 98,454,204	\$ 97,931,731	\$ 104,974,945	\$ 104,547,386

Agencies	\$ 66,186,950	\$ 65,628,744	\$ 71,069,359	\$ 70,584,318
Municipal Bonds	\$ 995,487	\$ 993,140	\$ 984,450	\$ 996,710
Certificates of Deposit	\$ 31,271,767	\$ 31,266,931	\$ 32,921,136	\$ 32,923,349

ANB	American National Bank	FTN	FTN Financial
COB	Comerica Bank	GS	Gilford Securities
COM	Comerica Securities	LT	Ladenburg Thalmann & Co.
CS	Coastal Securities	LTB	Legacy Texas Bank
FES	First Empire Securities	TSB	Texas Security Bank
FNC	Financial Northeastern	WF	Wells Fargo
FP	First Public		

Note: Addition differences due to rounding.