

CITY OF ALLEN
Investment Report
January 01, 2017 to March 31, 2017

Summary of Investments by Type

Type	Value of all Investments 12/31/16		Value of all Investments 3/31/17	
	Book	Market	Book	Market
Government Agencies	\$ 59,156,014	\$ 58,585,512	\$ 66,210,011	\$ 65,635,799
LGIP (TexPool, TexSTAR, Texas Class)	60,930,355	60,930,355	74,540,213	74,540,213
Certificates of Deposit	33,687,243	33,687,243	34,768,136	34,769,336
Municipal Bonds	1,294,410	1,285,364	993,799	991,790
TOTAL	\$ 155,068,022	\$ 154,488,474	\$ 176,512,159	\$ 175,937,137

Summary of Total Investment Book Value by Fund Group

	<u>12/31/16</u>	<u>3/31/17</u>
General Fund	\$ 31,570,170	\$ 49,303,235
Enterprise Funds	27,895,600	24,676,517
Capital Projects Funds	34,710,770	32,466,469
Debt Service Funds	8,566,212	12,781,671
Special Revenue Funds	33,699,687	39,303,337
Internal Service Funds	16,839,867	16,370,128
Trust and Agency Funds	1,785,716	1,610,803
TOTAL	\$ 155,068,022	\$ 176,512,159

Note: Addition differences due to rounding.

City of Allen
Government Agencies, Commercial Paper, and Certificates of Deposit

Maturity Date	Purchase Date	Type	Broker	CUSIP	Value at 12/31/16		Value at 3/31/17	
					Book	Market	Book	Market
01/09/17	01/09/14	CD	ANB	9625880	\$ 3,066,082	\$ 3,066,082	\$ -	\$ -
01/20/17	01/20/15	CD	TSB	11974	\$ 3,130,086	\$ 3,130,086	\$ -	\$ -
02/15/17	10/02/12	BONDS	COM	133303ZP5	\$ 302,317	\$ 300,384	\$ -	\$ -
04/03/17	04/02/14	CD	COB	3851-11575978	\$ 1,538,612	\$ 1,538,612	\$ 1,542,125	\$ 1,542,125
04/09/17	04/09/15	CD	TSB	11999	\$ 2,025,562	\$ 2,025,562	\$ 2,029,260	\$ 2,029,260
07/03/17	04/05/16	CD	COB	3851-11575960	\$ 1,015,673	\$ 1,015,673	\$ 1,017,218	\$ 1,017,218
07/30/17	07/30/14	CD	ANB	9631730	\$ 1,530,390	\$ 1,530,390	\$ 1,533,534	\$ 1,533,534
08/09/17	05/09/13	FFCB	FES	3133ECP24	\$ 1,002,383	\$ 999,412	\$ 1,000,607	\$ 999,032
08/14/17	08/13/15	CD	COB	3851-11577974	\$ 2,527,970	\$ 2,527,970	\$ 2,533,025	\$ 2,533,025
09/29/17	02/10/14	FHLMC	GS	3137EADL0	\$ 1,002,142	\$ 1,000,969	\$ 999,615	\$ 1,000,223
10/09/17	07/08/15	CD	COB	3851-11577560	\$ 2,530,666	\$ 2,530,666	\$ 2,535,844	\$ 2,535,844
10/26/17	10/26/15	FHLB	COM	3130A6LZ8	\$ 1,001,035	\$ 998,461	\$ 1,002,574	\$ 998,047
11/13/17	08/13/15	CD	COB	3851-11578014	\$ 2,532,063	\$ 2,532,063	\$ 2,537,858	\$ 2,537,858
11/28/17	05/28/13	FNMA	CS	3136G1M55	\$ 1,000,297	\$ 998,539	\$ 1,002,266	\$ 999,099
02/15/18	11/18/15	BONDS	COM	463813ZV0	\$ 992,092	\$ 984,980	\$ 993,799	\$ 991,790
03/09/18	11/04/15	FHLB	CS	313378A43	\$ 1,015,868	\$ 1,003,577	\$ 1,012,607	\$ 1,002,294
03/14/18	01/29/16	FFCB	CS	3133EFSG3	\$ 1,507,732	\$ 1,500,165	\$ 1,502,940	\$ 1,500,534
03/29/18	03/29/16	FHLB	CS	3130A7MB8	\$ 1,503,678	\$ 1,496,247	\$ 1,499,877	\$ 1,497,734
04/09/18	07/08/15	CD	COB	3851-11577552	\$ 2,539,427	\$ 2,539,427	\$ 2,546,085	\$ 2,546,085
04/18/18	04/27/16	FFCB	COM	3133EF3B1	\$ 1,001,556	\$ 992,428	\$ 1,003,444	\$ 995,914
05/11/18	05/11/15	CD	COB	3851-11473455	\$ 3,090,244	\$ 3,090,244	\$ 3,099,214	\$ 3,099,214
05/18/18	05/18/16	FHLB	WF	3130A7VW2	\$ 1,001,110	\$ 997,218	\$ 1,003,575	\$ 997,696
06/06/18	08/07/15	FNMA	COM	3136G06F3	\$ 1,000,133	\$ 993,618	\$ 1,002,875	\$ 994,474
07/13/18	07/13/16	FNMA	CS	3135G0M42	\$ 1,004,142	\$ 995,546	\$ 1,001,862	\$ 996,349
07/27/18	11/04/15	FHLMC	CS	3134G73Q2	\$ 1,003,208	\$ 993,030	\$ 1,000,693	\$ 994,085
08/13/18	08/13/15	CD	COB	3851-11578006	\$ 5,087,321	\$ 5,087,321	\$ 5,103,101	\$ 5,103,101
10/26/18	10/29/15	FNMA	CS	3136G2RD1	\$ 1,001,667	\$ 1,000,452	\$ 1,004,179	\$ 1,000,069
10/29/18	10/29/15	FFCB	CS	3133EFLY1	\$ 1,001,443	\$ 993,345	\$ 1,003,734	\$ 995,846
11/02/18	11/02/15	FFCB	COM	3133EFMA2	\$ 996,398	\$ 991,880	\$ 998,911	\$ 993,397
12/28/18	06/28/16	FHLMC	COS	3134G9WB9	\$ 2,050,022	\$ 2,031,591	\$ 2,055,074	\$ 2,033,770
01/11/19	01/11/16	CD	COB	3851-11575564	\$ 3,073,148	\$ 3,073,148	\$ 3,083,247	\$ 3,083,247
01/20/19	01/20/17	CD	TSB	11974	\$ -	\$ -	\$ 3,139,367	\$ 3,139,367
02/01/19	02/01/17	CD	FES	949763EK8	\$ -	\$ -	\$ 250,340	\$ 250,894
02/01/19	02/01/17	CD	FES	140420V23	\$ -	\$ -	\$ 248,612	\$ 248,651
02/04/19	02/02/17	CD	FES	02006LW41	\$ -	\$ -	\$ 248,601	\$ 248,640
02/22/19	02/22/16	FFCB	CS	3133EFYS0	\$ 1,003,977	\$ 995,145	\$ 1,001,060	\$ 994,921
02/27/19	01/29/16	FNMA	CS	3136FTP37	\$ 1,025,321	\$ 1,006,302	\$ 1,021,961	\$ 1,005,948
03/29/19	03/29/16	FFCB	CS	3133EFV38	\$ 2,006,130	\$ 1,992,872	\$ 1,999,795	\$ 1,994,782
03/29/19	03/29/16	FHLMC	WF	3134G8TV1	\$ 1,002,992	\$ 993,149	\$ 999,900	\$ 993,868
04/02/19	01/29/16	FFCB	CS	3133ECKP8	\$ 1,002,321	\$ 995,172	\$ 1,005,279	\$ 996,859
04/15/19	03/24/16	FHLMC	COM	3137EADZ9	\$ 1,002,251	\$ 995,953	\$ 1,005,023	\$ 995,161
04/26/19	04/26/16	FFCB	CS	3133EF4Y0	\$ 1,001,712	\$ 993,507	\$ 1,004,178	\$ 990,713
05/16/19	05/16/16	FHLMC	CS	3134G9DK0	\$ 1,001,397	\$ 991,521	\$ 1,004,356	\$ 992,357
05/16/19	05/16/16	FHLMC	WF	3134G9DK0	\$ 1,001,397	\$ 991,521	\$ 1,004,356	\$ 992,357
06/14/19	02/01/16	FHLB	COM	313379EE5	\$ 2,026,018	\$ 2,009,702	\$ 2,031,547	\$ 2,008,816
06/27/19	06/27/16	FFCB	WF	3133EGGS8	\$ 1,500,074	\$ 1,480,574	\$ 1,504,512	\$ 1,489,242
07/19/19	07/19/16	FNMA	CS	3136G3VN2	\$ 1,508,322	\$ 1,475,655	\$ 1,503,570	\$ 1,477,140
08/01/19	11/04/15	FHLMC	CS	3137EADK2	\$ 1,502,002	\$ 1,493,825	\$ 1,497,569	\$ 1,494,483
08/26/19	02/26/16	FNMA	CS	3136G2YB7	\$ 1,004,434	\$ 992,400	\$ 1,001,091	\$ 992,272
08/26/19	02/26/16	FNMA	WF	3136G2YB7	\$ 1,004,434	\$ 992,400	\$ 1,001,091	\$ 992,272
09/25/19	10/14/15	FHLB	CS	3130A6GD3	\$ 1,512,397	\$ 1,491,542	\$ 1,506,082	\$ 1,498,383
10/22/19	10/22/15	FFCB	COM	3133EFLA3	\$ 1,002,164	\$ 991,626	\$ 1,005,220	\$ 993,123
11/25/19	11/25/15	FNMA	CS	3136G2SU2	\$ 926,168	\$ 922,194	\$ 929,587	\$ 922,318
11/26/19	05/26/16	FNMA	CS	3136G3LV5	\$ 1,001,193	\$ 990,840	\$ 1,004,518	\$ 991,372
11/29/19	11/29/16	FNMA	LT	3135G0R96	\$ -	\$ -	\$ 1,001,844	\$ 989,187
12/20/19	12/20/16	FHLB	CS	3130AABA5	\$ 999,008	\$ 987,469	\$ 1,004,068	\$ 998,145
12/27/19	12/27/16	FFCB	CS	3133EG2M6	\$ 1,000,443	\$ 997,833	\$ 1,004,378	\$ 1,000,385
12/30/19	06/30/16	FHLB	CS	3130A8JZ7	\$ 1,000,186	\$ 1,000,409	\$ 1,002,921	\$ 986,714
01/09/20	01/09/17	CD	ANB	9625880	\$ -	\$ -	\$ 3,071,992	\$ 3,071,992
01/27/20	02/03/17	FNMA	CS	3136G4KR3	\$ -	\$ -	\$ 3,008,609	\$ 2,995,773
02/03/20	02/01/17	CD	FES	2546722S6	\$ -	\$ -	\$ 248,713	\$ 249,282
02/03/20	02/03/16	FFCB	CS	3133EFXM4	\$ 999,914	\$ 985,208	\$ 1,503,293	\$ 1,488,375

Maturity Date	Purchase Date	Type	Broker	CUSIP	Value at 12/31/16		Value at 3/31/17	
					Book	Market	Book	Market
02/24/20	02/24/16	FFCB	COM	3133EFZT7	\$ 1,509,075	\$ 1,486,604	\$ 1,001,217	\$ 992,641
02/28/20	02/28/17	FNMA	COM	3136G4LV3	\$ -	\$ -	\$ 1,001,364	\$ 1,000,177
03/30/20	03/30/16	FFCB	COM	3133EFV20	\$ 1,004,767	\$ 985,289	\$ 999,786	\$ 994,301
03/30/20	03/30/16	FFCB	WF	3133EFV20	\$ 1,003,564	\$ 993,484	\$ 999,786	\$ 994,301
04/17/20	02/07/17	FFCB	COM	3133EG4D4	\$ -	\$ -	\$ 2,007,134	\$ 1,996,324
05/19/20	05/19/16	FHLB	COM	3130A7VV4	\$ 1,003,564	\$ 993,484	\$ 1,005,313	\$ 985,771
06/29/20	06/29/16	FFCB	WF	3133EGHP3	\$ 1,001,617	\$ 984,163	\$ 1,003,475	\$ 988,889
06/29/20	06/29/16	FFCB	CS	3133EGHP3	\$ 999,976	\$ 976,966	\$ 1,003,475	\$ 988,889
11/09/20	11/09/15	FFCB	CS	3133EFNM5	\$ 999,976	\$ 976,966	\$ -	\$ -
04/13/21	04/20/16	FHLB	COM	3130A7Q81	\$ 1,003,099	\$ 977,470	\$ 1,006,760	\$ 978,867
06/30/21	06/30/16	FNMA	WF	3136G3UD5	\$ 999,886	\$ 1,000,000	\$ 1,003,829	\$ 991,756
06/30/21	06/30/16	FNMA	WF	3136G3UD5	\$ 999,886	\$ 1,000,000	\$ 1,003,829	\$ 991,756
11/26/21	11/28/16	FHLB	FES	3130AA2K3	\$ 1,502,304	\$ 1,462,557	\$ 1,508,588	\$ 1,464,488
12/20/21	12/20/16	FHLB	CS	3130AAGR3	\$ 1,000,614	\$ 997,617	\$ 1,005,642	\$ 997,841
12/20/21	12/20/16	FHLB	COM	3130AAGR3	\$ 1,000,614	\$ 997,617	\$ 1,005,642	\$ 997,841
02/01/22	02/01/17	FHLB	FES	3130AAR67	\$ -	\$ -	\$ 1,003,532	\$ 998,428
					\$94,137,667	\$93,558,119	\$ 101,971,946	\$101,396,925

Agencies	\$ 59,156,014	\$ 58,585,512	\$ 66,210,011	\$ 65,635,799
Municipal Bonds	\$ 1,294,410	\$ 1,285,364	\$ 993,799	\$ 991,790
Certificates of Deposit	\$33,687,243	\$33,687,243	\$ 34,768,136	\$ 34,769,336

ANB	American National Bank	FP	First Public
BC	Benchmark Securities	GS	Gilford Securities
BOA	Bank of America	LB	Legacy Bank
CB	Cathay Bank	SWS	Southwest Securities
CS	Coastal Securities	TSB	Texs Security Bank
COB	Comerica Bank	VP	View Point Bank
COM	Comerica Securities	WF	Wells Fargo
FES	First Empire Securities	ZB	Zions Natl Bank

Note: Addition differences due to rounding.