

CITY OF ALLEN
Investment Report
October 1, 2016 to December 31, 2016

Summary of Investments by Type

Type	Value of all Investments 9/30/2016		Value of all Investments 12/31/16	
	Book	Market	Book	Market
Government Agencies	\$ 54,680,323	\$ 54,573,922	\$ 59,156,014	\$ 58,585,512
LGIP (TexPool, TexSTAR, Texas Class)	47,301,327	47,301,327	60,930,355	60,930,355
Certificates of Deposit	33,604,556	33,604,556	33,687,243	33,687,243
Municipal Bonds	1,291,771	1,288,613	1,294,410	1,285,364
TOTAL	\$ 136,877,978	\$ 136,768,419	\$ 155,068,022	\$ 154,488,474

Summary of Total Investment Book Value by Fund Group

	9/30/16	12/13/16
General Fund	\$ 22,603,662	\$ 31,570,170
Enterprise Funds	28,520,106	27,895,600
Capital Projects Funds	32,011,539	34,710,770
Debt Service Funds	1,034,378	8,566,212
Special Revenue Funds	35,446,162	33,699,687
Internal Service Funds	15,652,895	16,839,867
Trust and Agency Funds	1,609,237	1,785,716
TOTAL	\$ 136,877,978	\$ 155,068,022

Note: Addition differences due to rounding.

City of Allen

Government Agencies, Commercial Paper, and Certificates of Deposit

Maturity Date	Purchase Date	Type	Broker	CUSIP	Value at 9/30/16		Value at 12/31/16	
					Book	Market	Book	Market
12/28/16	02/21/14	FHLB	FES	3130A0C65	\$ 1,001,378	\$ 1,000,678	\$ -	\$ -
01/09/17	01/09/14	CD	ANB	9625880	\$ 3,060,473	\$ 3,060,473	\$ 3,066,082	\$ 3,066,082
01/20/17	01/20/15	CD	TSB	11974	\$ 3,123,182	\$ 3,123,182	\$ 3,130,086	\$ 3,130,086
02/15/17	10/02/12	Muni Bonds	COM	133303ZP5	\$ 301,404	\$ 301,293	\$ 302,317	\$ 300,384
04/03/17	04/02/14	CD	COB	3851-11575978	\$ 1,535,059	\$ 1,535,059	\$ 1,538,612	\$ 1,538,612
04/09/17	04/09/15	CD	TSB	11999	\$ 2,021,822	\$ 2,021,822	\$ 2,025,562	\$ 2,025,562
07/03/17	04/05/16	CD	COB	3851-11575960	\$ 1,014,110	\$ 1,014,110	\$ 1,015,673	\$ 1,015,673
07/30/17	07/30/14	CD	ANB	9631730	\$ 1,527,247	\$ 1,527,247	\$ 1,530,390	\$ 1,530,390
08/09/17	05/09/13	FFCB	FES	3133ECP24	\$ 1,000,640	\$ 998,796	\$ 1,002,383	\$ 999,412
08/14/17	08/13/15	CD	COB	3851-11577974	\$ 2,522,859	\$ 2,522,859	\$ 2,527,970	\$ 2,527,970
09/29/17	02/10/14	FHLMC	GS	3137EADL0	\$ 999,641	\$ 1,003,116	\$ 1,002,142	\$ 1,000,969
10/09/17	07/08/15	CD	COB	3851-11577560	\$ 2,525,430	\$ 2,525,430	\$ 2,530,666	\$ 2,530,666
10/26/17	10/26/15	FHLB	COM	3130A6LZ8	\$ 1,002,604	\$ 999,109	\$ 1,001,035	\$ 998,461
11/13/17	08/13/15	CD	COB	3851-11578014	\$ 2,526,204	\$ 2,526,204	\$ 2,532,063	\$ 2,532,063
11/28/17	05/28/13	FNMA	CS	3136G1M55	\$ 1,002,305	\$ 999,139	\$ 1,000,297	\$ 998,539
02/15/18	11/18/15	Muni Bonds	COM	463813ZV0	\$ 990,367	\$ 987,320	\$ 992,092	\$ 984,980
03/09/18	11/04/15	FHLB	CS	313378A43	\$ 1,012,213	\$ 1,008,153	\$ 1,015,868	\$ 1,003,577
03/14/18	01/29/16	FFCB	CS	3133EFSG3	\$ 1,504,237	\$ 1,504,349	\$ 1,507,732	\$ 1,500,165
03/29/18	03/29/16	FHLB	CS	3130A7MB8	\$ 1,499,938	\$ 1,501,485	\$ 1,503,678	\$ 1,496,247
04/09/18	07/08/15	CD	COB	3851-11577552	\$ 2,532,696	\$ 2,532,696	\$ 2,539,427	\$ 2,539,427
04/18/18	04/27/16	FFCB	COM	3133EF3B1	\$ 1,003,522	\$ 998,585	\$ 1,001,556	\$ 992,428
05/11/18	05/11/15	CD	COB	3851-11473455	\$ 3,081,175	\$ 3,081,175	\$ 3,090,244	\$ 3,090,244
05/18/18	05/18/16	FHLB	WF	3130A7VW2	\$ 1,003,616	\$ 1,001,112	\$ 1,001,110	\$ 997,218
06/06/18	08/07/15	FNMA	COM	3136G06F3	\$ 1,002,611	\$ 999,987	\$ 1,000,133	\$ 993,618
07/13/18	07/13/16	FNMA	CS	3135G0M42	\$ 1,001,899	\$ 998,380	\$ 1,004,142	\$ 995,546
07/27/18	11/04/15	FHLMC	CS	3134G73Q2	\$ 1,000,695	\$ 999,338	\$ 1,003,208	\$ 993,030
08/13/18	08/13/15	CD	COB	3851-11578006	\$ 5,071,364	\$ 5,071,364	\$ 5,087,321	\$ 5,087,321
10/26/18	10/29/15	FNMA	CS	3136G2RD1	\$ 1,004,226	\$ 1,000,233	\$ 1,001,667	\$ 1,000,452
10/29/18	10/29/15	FFCB	CS	3133EFLY1	\$ 1,003,776	\$ 1,000,074	\$ 1,001,443	\$ 993,345
11/02/18	11/02/15	FFCB	COM	3133EFMA2	\$ 1,004,058	\$ 998,636	\$ 996,398	\$ 991,880
12/28/18	06/28/16	FHLMC	COS	3134G9WB9	\$ 2,055,164	\$ 2,047,015	\$ 2,050,022	\$ 2,031,591
01/11/19	01/11/16	CD	COB	3851-11575564	\$ 3,062,937	\$ 3,062,937	\$ 3,073,148	\$ 3,073,148
02/22/19	02/22/16	FFCB	CS	3133EFYS0	\$ 1,001,112	\$ 1,000,111	\$ 1,003,977	\$ 995,145
02/27/19	01/29/16	FNMA	CS	3136FTP37	\$ 1,020,225	\$ 1,017,036	\$ 1,025,321	\$ 1,006,302
03/29/19	03/29/16	FFCB	CS	3133EFV38	\$ 1,999,897	\$ 2,000,604	\$ 2,006,130	\$ 1,992,872
03/29/19	03/29/16	FHLMC	WF	3134G8TV1	\$ 999,950	\$ 1,000,294	\$ 1,002,992	\$ 993,149
04/02/19	01/29/16	FFCB	CS	3133ECKP8	\$ 1,005,230	\$ 1,004,973	\$ 1,002,321	\$ 995,172
04/15/19	03/24/16	FHLMC	COM	3137EADZ9	\$ 1,005,823	\$ 1,004,441	\$ 1,002,251	\$ 995,953
04/26/19	04/26/16	FFCB	CS	3133EF4Y0	\$ 1,004,219	\$ 1,000,611	\$ 1,001,712	\$ 993,507
05/16/19	05/16/16	FHLMC	CS	3134G9DK0	\$ 1,004,405	\$ 1,000,734	\$ 1,001,397	\$ 991,521
05/16/19	05/16/16	FHLMC	WF	3134G9DK0	\$ 1,004,405	\$ 1,000,734	\$ 1,001,397	\$ 991,521
06/14/19	02/01/16	FHLB	COM	313379EE5	\$ 2,036,677	\$ 2,031,648	\$ 2,026,018	\$ 2,009,702
06/27/19	06/27/16	FFCB	WF	3133EGGS8	\$ 1,504,586	\$ 1,498,925	\$ 1,500,074	\$ 1,480,574
07/19/19	07/19/16	FNMA	CS	3136G3VN2	\$ 1,503,647	\$ 1,495,169	\$ 1,508,322	\$ 1,475,655
08/01/19	11/04/15	FHLMC	CS	3137EADK2	\$ 1,497,006	\$ 1,510,887	\$ 1,502,002	\$ 1,493,825
08/26/19	02/26/16	FNMA	CS	3136G2YB7	\$ 1,001,142	\$ 1,000,334	\$ 1,004,434	\$ 992,400
08/26/19	02/26/16	FNMA	WF	3136G2YB7	\$ 1,001,142	\$ 1,000,334	\$ 1,004,434	\$ 992,400
09/25/19	10/14/15	FHLB	CS	3130A6GD3	\$ 1,507,408	\$ 1,506,440	\$ 1,512,397	\$ 1,491,542
10/22/19	10/22/15	FFCB	COM	3133EFLA3	\$ 1,005,275	\$ 1,006,031	\$ 1,002,164	\$ 991,626
11/25/19	11/25/15	FNMA	CS	3136G2SU2	\$ 929,649	\$ 925,598	\$ 926,168	\$ 922,194
11/26/19	05/26/16	FNMA	CS	3136G3LV5	\$ 1,004,581	\$ 1,001,740	\$ 1,001,193	\$ 990,840
12/20/19	12/20/16	FHLB	CS	3130AABA5	\$ -	\$ -	\$ 999,008	\$ 987,469
12/27/19	12/27/16	FFCB	CS	3133EG2M6	\$ -	\$ -	\$ 1,000,443	\$ 997,833
12/30/19	06/30/16	FHLB	CS	3130A8JZ7	\$ 1,003,007	\$ 1,000,227	\$ 1,000,186	\$ 1,000,409
02/03/20	02/03/16	FFCB	CS	3133EFXM4	\$ 1,503,394	\$ 1,501,292	\$ 999,914	\$ 985,208
02/24/20	02/24/16	FFCB	COM	3133EFZT7	\$ 1,001,279	\$ 1,000,995	\$ 1,509,075	\$ 1,486,604
03/30/20	03/30/16	FFCB	COM	3133EFV20	\$ 999,893	\$ 1,001,367	\$ 1,004,767	\$ 985,289
03/30/20	03/30/16	FFCB	WF	3133EFV20	\$ 999,893	\$ 1,001,367	\$ 1,003,564	\$ 993,484
05/19/20	05/19/16	FHLB	COM	3130A7VV4	\$ 1,005,380	\$ 1,001,561	\$ 1,003,564	\$ 993,484
06/29/20	06/29/16	FFCB	WF	3133EGHP3	\$ 1,003,538	\$ 1,000,608	\$ 1,001,617	\$ 984,163
06/29/20	06/29/16	FFCB	CS	3133EGHP3	\$ 1,003,538	\$ 1,000,608	\$ 999,976	\$ 976,966
11/09/20	11/09/15	FFCB	CS	3133EFNM5	\$ 1,006,813	\$ 1,000,357	\$ 999,976	\$ 976,966
04/13/21	04/20/16	FHLB	COM	3130A7Q81	\$ 1,006,797	\$ 1,001,337	\$ 1,003,099	\$ 977,470
06/30/21	06/30/16	FNMA	WF	3136G3UD5	\$ 1,003,943	\$ 999,688	\$ 999,886	\$ 1,000,000
06/30/21	06/30/16	FNMA	WF	3136G3UD5	\$ 1,003,943	\$ 999,688	\$ 999,886	\$ 1,000,000
11/26/21	11/28/16	FHLB	FES	3130AA2K3	\$ -	\$ -	\$ 1,502,304	\$ 1,462,557
12/20/21	12/20/16	FHLB	CS	3130AAGR3	\$ -	\$ -	\$ 1,000,614	\$ 997,617
12/20/21	12/20/16	FHLB	COM	3130AAGR3	\$ -	\$ -	\$ 1,000,614	\$ 997,617
					\$89,576,650	\$89,467,091	\$ 94,137,667	\$93,558,119

Agencies	\$ 54,680,323	\$ 54,573,922	\$	59,156,014	\$ 58,585,512
Municipal Bonds	\$ 1,291,771	\$ 1,288,613	\$	1,294,410	\$ 1,285,364
Certificates of Deposit	\$33,604,556	\$33,604,556	\$	33,687,243	\$33,687,243

ANB	American National Bank	FP	First Public
BC	Benchmark Securities	GS	Gilford Securities
BOA	Bank of America	LB	Legacy Bank
CB	Cathay Bank	SWS	Southwest Securities
CS	Coastal Securities	TSB	Texas Security Bank
COB	Comerica Bank	VP	View Point Bank
COM	Comerica Securities	WF	Wells Fargo
FES	First Empire Securities	ZB	Zions Natl Bank

Note: Addition differences due to rounding.